

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-014001	Application No. 10/701,154
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Massimiliano Antonio Poletto et al.	
		Filing Date November 3, 2003	Group Art Unit 2113
(37 CFR §1.98(b))			

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,793,753		Hershey et al.			
	AB	5,796,942		Esbensen			
	AC	5,796,956		Jones			
	AD	5,886,643		Diebboll et al.			
	AE	5,892,903		Klaus			
	AF	5,940,870		Chi et al.			
	AG	5,991,881		Conklin et al.			
	AH	6,061,341		Andersson et al.			
	AI	6,061,789		Hauser et al.			
	AJ	6,088,804		Hill et al.			
	AK	6,108,782		Fletcher et al.			
	AL	6,269,330		Cidon et al.			
	AM	6,269,401		Fletcher et al.			
	AN	6,279,113		Vaidya			
	AO	6,282,546		Gleichauf et al.			
	AP	6,301,668		Gleichauf et al.			
	AQ	6,304,262		Maloney et al.			
	AR	6,321,338		Porras et al.			
	AS	6,353,385		Molini et al.			
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	AV	6,381,649		Carlson			
	AW	6,388,992		Aubert et al.			
	AX	6,389,448		Primak et al.			
	AY	6,442,694		Bergman et al.			
	AZ	6,487,666		Shanklin et al.			
	AAA	6,499,107		Gleichauf et al.			

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	ACC	6,578,147		Shanklin et al.			
	ADD	6,591,306		Redlich			
	AEE	6,597,661		Bonn			
	AFF	6,597,957		Beakley			
	AGG	6,609,205		Bernhard et al.			
	AHH	6,678,827		Rothermel et al.			
	AII	6,691,213		Luu et al.			
	AJJ	6,725,378		Schuba et al.			
	AKK	6,735,702		Yavatkar et al.			
	ALL	6,738,814		Cox et al.			
	AMM	6,751,688		El-Demerdash et al.			
	ANN	6,775,657		Baker			
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	AWW	2002/0031134		Poletto et al.			
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	ABBB	2002/0035683		Kaashock et al.			

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	ACCC	2002/0038339		Xu			
	ADDD	2002/0095492		Kaashoek et al.			
	AEEE	2002/0035698		Malan et al.			
	AFFF	2002/0103886		Rawson, III			
	AGGG	2002./0103916		Chen et al.			
	AHHH	2002/0116491		Boyd et al.			
	AIII	2003/0046577		Silverman			
	AJJJ	2003/0149919		Greenwald et al.			
	AKKK	2004/0205374		Poletto et al.			
	ALLL	2004/0220984		Dudfield et al.			
	AMMM	2004/0236963		Danford et al.			
	ANNN	2006/0047807		Magnaghi et al.			

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AOOO	EP 1 079 583		EPO				
	APPP							
	AQQQ							
	ARRR							
	ASSS							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AA	Steve Bellovin. DDOS Attacks and Pushback. NANOG21, Feb 18, 2001 <a href="http://www.aciri.org/pushback">http://www.aciri.org/pushback</a>
	AB	Ratul Manajan, Steven M. Bellovin, Sally Floyd, Vern Paxson, Scott Shenker, and John Ioannidis. Controlling High Bandwidth Aggregates in the Network. draft paper, Feb 2001. <a href="http://www.aciri.org/pushback">http://www.aciri.org/pushback</a>
	AC	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Proceedings of 2000 ACM SIGCOMM, Stockholm, Sweden, August 2000. <a href="http://www.cs.washington.edu/homes/savage/traceback.html">http://www.cs.washington.edu/homes/savage/traceback.html</a>

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	AD	Steve Bellovin. ICMP Traceback Messages. AT&T Labs Research, Mar 2000. <a href="http://www.research.att.com/~smb/papers/draft-bellovin-itrace-00.txt">http://www.research.att.com/~smb/papers/draft-bellovin-itrace-00.txt</a>
	AE	Cisco. Characterizing and Tracing Packet Floods Using Cisco Routers. <a href="http://www.cisco.com/warp/public/707/22.html">http://www.cisco.com/warp/public/707/22.html</a>
	AF	D. Senie. RFC2644 (BCP34), Changing the Default for Directed Broadcasts in Routers. IETF, August 1999. <a href="http://www.ietf.org/rfc/rfc2644.txt">http://www.ietf.org/rfc/rfc2644.txt</a>
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	AH	David G. Andersen, Hari Balakrishnan, and M. Frans Kaashoek, Robert Morris. The Case for Resilient Overlay Networks. Proc. of HotOS-VIII, Schloss Elmau, Germany, May 2001. <a href="http://nms.lcs.mit.edu/papers/ron-hotos2001.pdf">http://nms.lcs.mit.edu/papers/ron-hotos2001.pdf</a>
	AI	Cisco. Web-Site Security and Denial-of-Service Protection. <a href="http://www.cisco.com/warp/public/cc/pd/si/11000/prodlit/cswsc_wi.htm">http://www.cisco.com/warp/public/cc/pd/si/11000/prodlit/cswsc_wi.htm</a>
	AJ	Analysis of a Denial of Service Attack on TCP" by Schuba et al Proceedings of the 1997 IEEE Symposium on Security and Privacy (IEEE Computer Society Press, May 1997
	AK	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Work in progress Technical Report UW-CSE-00-02-01. Date unknown. <a href="http://www.cs.washington.edu/homes/savage/traceback.html">http://www.cs.washington.edu/homes/savage/traceback.html</a>
	AL	Web page entitled "Aggregate Based Congestion Control and Pushback" last modified April 2001 Found at <a href="http://www.aciri.org/pushback">http://www.aciri.org/pushback</a>
	AM	D. Song et al., "Advanced and Authenticated Marking Schemes for IP Traceback", Proc. IEEE INFOCOM, April 2001, pp. 878-880.
	AN	R. Stone, "CenterTrack: An IP Overlay Network for Tracking DoS Floods", Proceedings of 9th USENIX Security Symposium, Denver, CO, August 2000, pp. 199-212.
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	AP	"A System for Distributed Intrusion Detection", Snapp et al., Comcon Spring '91, Digest of Papers, Davis, CA, March 1991, pps 1 and 170-176.
	AQ	Messmer, April 2000, Network World
	AR	Communications News, June 2000, 37, 6, 48.
	AS	McFadden, October 25, 2000, Ent. 5, 17, 22
	AT	Greene, February 16, 1998, pg. 20
	AU	Johnson, November 27, 2000, Network World
	AV	Martin, August 14, 2000, Network World, pg. 86
	AW	Snyder, July 19, 1999, Network World, pg. 53
	AX	Mell, P. et al., "Mobile Agent Attack Resistant Distributed Hierarchical Intrusion Detection Systems," RAID 1999, Sep. 99, pp. 1-8.

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	AY	Mansfield et al., "Towards trapping wily intruders in the large", RAID 1999, Sep. 99, pp. 1-13.
	AZ	Stallings, William, "Cryptography and Network Security", Principles and Practice, 2nd Edition, Intruders and Viruses, Chapter 15, pp. 478-501.
	AAA	Roesch, Martin, "Snort - Lightweight Intrusion Detection for Networks", Proceedings of LISA XIII '99: 13th Systems Administration Conference, Nov. 7-12, 1999, pp. 229-238.
	ABB	Ohta et al., "Detection, Defense, and Tracking of Internet-Wide Illegal Access in a Distributed Manner", Internet Society, July 18-21, 2000, Retrieved from the Internet on 10/27/04: <URL: <a href="http://www.isoc.org/inet2000/cdproceedings/16/1f_2.htm">http://www.isoc.org/inet2000/cdproceedings/16/1f_2.htm</a> >

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